

MICROCANONICAL FORMALISM

MICROCANONICAL ENSEMBLE:

N particles

total energy E

in volume V

$$p_i = \frac{1}{\Omega}$$

probability density function
of state i

Ω : sum of states, or partition function
(Zustandssumme)

The microcanonical formalism of statistical mechanics results in
entropy-based equation of state in the following form:

$$S(E, V, N) = k \ln \Omega(E, V, N)$$

The microcanonical “prescription” is the following:

Count the number of all accessible states, and calculate entropy

Using this equation of state, we know all possible macroscopic state.



Wien, Zentralfriedhof Johannes Borromäus church



$$S(E, V, N) = k \ln \Omega(E, V, N)$$

CANONICAL FORMALISM

CANONICAL ENSEMBLE:

N particles
in volume V
at temperature T

$$Q = \sum_{\forall i} e^{-\frac{E_i}{kT}}$$

canonical **partition function**,
or canonical **sum of states**

$$p_i = \frac{e^{-\frac{E_i}{kT}}}{\sum_{\forall i} e^{-\frac{E_i}{kT}}}$$

probability density function
of state i

The canonical formalism of statistical mechanics results in
free-energy based equation of state in the following form:

$$F(T, V, N) = -kT \ln Q(T, V, N)$$

The canonical “prescription” is the following:

Calculate the canonical partition function, and express the free energy F

FUNDAMENTAL EQUATION of an IDEAL GAS

In molecules, the following modes can be discerned in general:

- three **translational** modes
- **rotational** modes
- **vibrational** modes
- **electronic** modes (a.k.a. states)

The modes in gas-phase molecules in an ideal gas are independent;
thus, their energies are additive (no interactions),
and the partition function can be factorised:

$$Q = Q^{trans} \cdot Q^{rot} \cdot Q^{vib} \cdot Q^{el}$$

All contributions can be factorised as $Q^{(I)} = \prod_{j=1}^N \left(\underbrace{\sum_{\forall i} e^{-\beta \varepsilon_{ij}}}_{q^{(I)}} \right)$

Due to the commutability of multiplication, we can first write the product of molecular partition functions $q^{(I)}$ in the form

$$q = q^{trans} \cdot q^{rot} \cdot q^{vib} \cdot q^{el}$$

and then calculate their product for all N molecules of the ensemble.

Let us start with the translational partition function (of an ideal gas)

$$q^{trans} = \left(\frac{2\pi m k T}{h^2} \right)^{3/2} \cdot V$$

Using the thermal wavelength $\Lambda = \frac{h}{\sqrt{2\pi m k T}}$:

$$q^{trans} = \frac{V}{\Lambda^3}$$

The order of magnitude of the thermal wavelength and the translational partition function in ambient temperature gas :

$$\Lambda = 17,8 \text{ pm}$$

$$q^{trans} = 1,773 \cdot 10^{30}$$

For a gas containing N atoms:

$$Q^{trans} = (Q^{trans})^N = \frac{1}{N!} \left(\frac{2\pi m k T}{h^2} \right)^{3N/2} \cdot V^N$$

We see a division by $N!$ here, as the number of states in a gas of N molecules is much less than the simple product would predict.

It is due to the fact that **gas molecules are indistinguishable**.

This is the reason for dividing by $N!$ (The number of possible permutations.)

Thus, the partition function becomes

$$Q = \frac{V^N}{N!} \left(\frac{2\pi m k T}{h^2} \right)^{3N/2}$$

A general rotor (a 3-dimensional molecule) has 3 degrees of freedom;
thus, it has 3 rotational axis and 3 rotational constant.

Let us denote the rotational constants by A , B and C .

The partition function of such a (nonlinear) molecule:

$$q^R = \frac{1}{\sigma} \left(\frac{k T}{h c} \right)^{3/2} \sqrt{\frac{\pi}{ABC}}$$

(σ : rotational
symmetry
factor)

At ambient temperature, a multitude of rotational states is available.

In this temperature range, the typical value is $q^R \approx 1000$.

The *vibrational partition function* for a harmonic oscillator:

$$\varepsilon_v = \left(v + \frac{1}{2} \right) h \nu \quad \left\| \begin{array}{l} \nu: \text{Latin "vee"} \\ \nu: \text{Greek "nu"} \end{array} \right.$$

Take the zero point of the energy scale as $\varepsilon_0 = \frac{1}{2} h \nu = 0$

$$\text{In this case: } \varepsilon_v = v h \nu \quad \rightarrow \quad q^v = \sum_{v=0}^{\infty} e^{-\beta v h \nu} = \sum_{v=0}^{\infty} \left(e^{-\frac{h \nu}{kT}} \right)^v$$

This is exactly the sum of the geometric series of $e^{-\frac{h \nu}{kT}}$, thus:

$$q^v = \frac{1}{1 - e^{-\frac{h \nu}{kT}}}$$

The vibrational partition function has the same form for all the normal vibrations of the molecule. Thus, the **overall vibrational partition function** is:

$$q^V = q^V(1) \cdot q^V(2) \cdot \dots \cdot q^V(k)$$

At temperatures not too much higher than ambient,

$q^V(i)$ values are typically **between 1 and 3**.



Electronic partition functions:

In the majority of cases at ambient temperatures only one state is available:

$$q^E = 1$$

Exception: degenerate ground states (e. g. alkali atoms' spin-degeneration)

In these cases:

$$q^E = g^E$$

g^E is the **degree of degeneration**

Equilibrium constant in canonical formalism

First, let us express the free energy F
with molecular partition functions for a gas:

$$\begin{aligned} F &= F(0) - kT \ln Q = F(0) - kT \ln \frac{q^N}{N!} = \\ &= F(0) - NkT \ln q + kT(N \ln N - N) = \\ &= F(0) - NkT \ln q + kTN(\ln N - 1) \end{aligned}$$

$F(0)$ is fixing
the energy scale

applying Stirling's
approximation:
 $\ln(N!) \cong N \ln N - N$

The molar free energy F_m (substituting $k N_A = R$):

$$F_m = F_m(0) - RT \ln q + RT \ln N_A - RT$$

$$F_m = F_m(0) - RT \ln \frac{q}{N_A} - RT$$

Within the conditions $T, P = \text{constants}$ the reaction equilibrium constant can be given using the Gibbs potential G :

$$G = F + PV$$

With some “cheating” (using $P V = n R T$, for ideal gas mixtures only):

$$G_m = F_m(0) - RT \ln \frac{q_m}{N_A} - RT + RT = F_m(0) - RT \ln \frac{q_m}{N_A}$$

Let us denote by q_m^\ominus the value of q_m at $p = p^\ominus$ and $V^\ominus = \frac{RT}{p^\ominus}$

Thus, the standard molar Gibbs potential becomes

$$G^\ominus = F_m(0) - RT \ln \frac{q_m^\ominus}{N_A}$$

using $F = U - TS$, we can express $F_m(0)$ in terms of $U_m(0)$ as:

$$F_m(0) = U_m(0) - T(0) S(0)$$

$$\text{If } T(0) = 0 \text{ K} \rightarrow F_m(0) = U_m(0) \Rightarrow G^\ominus = U_m(0) - RT \ln \frac{q_m^\ominus}{N_A}$$

We know: $\Delta_r G^\ominus = -RT \ln K$; by comparing this to G^\ominus we get:

$$\Delta_r G^\ominus = \Delta_r U_m(0) - RT \sum_{i=1}^r \ln \left(\frac{q_{m,i}^\ominus}{N_A} \right)^{v_i} = \Delta_r U_m(0) - RT \ln \prod_{i=1}^r \left(\frac{q_{m,i}^\ominus}{N_A} \right)^{v_i}$$

$$\ln K = -\frac{\Delta_r U_0}{RT} + \ln \prod_{i=1}^r \left(\frac{q_{m,i}^\ominus}{N_A} \right)^{v_i} \quad \left\| \begin{array}{l} \text{shorthand:} \\ \Delta_r U_m(0) = \Delta_r U_0 \end{array} \right.$$

For the general reaction $\sum_{i=1}^r \nu_i A_i = 0$

$$K = \prod_{i=1}^r \left(\frac{q_{m,i}^\ominus}{N_A} \right)^{\nu_i} \cdot e^{-\frac{\Delta_r U_0}{RT}}$$

Thus, we have obtained the equilibrium constant for a reaction
where all the reacting components are ideal gas species.

This expression **can always be used** by replacing $\left(\frac{q_{m,i}^{\ominus}}{N_A} \right)$

by the “real” canonical partition function $Q_{m,i}^{\ominus}$ (e. g. from simulations)
In the exponent we still have the reaction energy at $T = 0$ temperature.

Summing up:

The equilibrium constant for the reaction $\sum_{i=1}^r \nu_i A_i = 0$

explained in canonical formalism is

$$K = \prod_{i=1}^r \left(\frac{q_{m,i}^{\ominus}}{N_A} \right)^{\nu_i} \cdot e^{-\frac{\Delta_r U_0}{RT}}$$

$q_{m,i}^{\ominus}$ is the standard molecular partition function,
 $\Delta_r U_0$ is the reaction energy at $T = 0$ K temperature

For two particular reaction types this can be written in the following form:



then
$$K = \frac{N_A q_C^\ominus}{q_A^\ominus q_B^\ominus} e^{-\frac{\Delta U_0}{RT}}$$



then
$$K = \frac{q_B^\ominus}{q_A^\ominus} e^{-\frac{\Delta U_0}{RT}}$$

Same equilibrium constants expressed with *molar* partition functions:



then

$$K = \frac{Q_C^\ominus}{Q_A^\ominus Q_B^\ominus} e^{-\frac{\Delta U_0}{RT}}$$



then

$$K = \frac{Q_B^\ominus}{Q_A^\ominus} e^{-\frac{\Delta U_0}{RT}}$$

The End